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**Synthetic and Negative Control Evaluation Framework for Large-Scale Propensity Score Survival Analysis**

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**Abstract**

*Propensity score (PS) adjustment is a popular method for confounding control in observational studies, but reliable frameworks for evaluating PS performance are lacking. We compare the performance of L1-regularized regression and the high-dimensional propensity score (hdPS) algorithm as propensity score estimators using synthetic and real-world negative control outcomes experiments based on two published drug cohort studies. We find that L1-regularized regression achieves higher model fit and covariate balance than the hdPS algorithm, while relative performance on bias reduction is mixed.*

**Introduction**

Propensity score adjustment has become a predominant method for confounding control in retrospective observational studies. By estimating the treatment assignment probability, propensity score adjusted studies mimic a randomized study, in which compared cohorts are balanced by design. Successful propensity score adjustment leads to bias reduction in estimating comparative drug effects.

Traditionally, investigators construct propensity scores by including established or suspected confounding covariates in the model. Modern access to longitudinal health databases for research use offers investigators an enormity of data that can overwhelm a manual selection decision process. Automated methods for propensity score model selection offer improved utilization of all available covariates. Among these, the high-dimensional propensity score (hdPS) algorithm1, which conducts a univariate screen for potential confounders, has gained widespread use in pharmaco-epidemiology. Alternatively, L1-regularized logistic regression is a workhorse of statistical model selection, and performs model selection on all covariates simultaneously2. This study aims to compare the performance of the hdPS algorithm with L1-regularized regression as propensity score estimators.

We detail a synthetic and negative control outcome experiment framework for our propensity score performance evaluation. We construct simulated, synthetic data using an extension of the “plasmode” framework3. We also conduct negative control outcome experiments4, which utilize real-world data and complement the shortcomings of solely relying on simulation results.

**Evaluation Framework**

Our synthetic experiments utilize realistic simulated data under known comparative drug hazard ratios. We operate under the Cox proportional hazards framework, and fashion each simulation after empirical study data. In the synthetic survival model, we use the observed covariates and treatment exposure data, and use estimated covariate effects and baseline survival functions. Our negative control outcome experiments use control outcomes identified via a published procedure5.

**Empirical Studies**

We reproduce two published observational studies using the Truven MarketScan Medicare Supplemental (MDCR) database. The first study6 is a comparison of anticoagulant medications – dabigatran and warfarin – on intracranial hemorrhage adverse events. The second study7 is a comparison of the Cox-2 inhibitor celecoxib and the traditional NSAID diclofenac on upper gastrointestinal complications.

**Results**

On outcome-independent metrics, L1-regularization outperforms the hdPS algorithm. The c-statistic, also known as the area-under-curve (AUC), measures treatment prediction accuracy. In both studies, L1-regularization has an AUC more than 0.05 greater than the evaluated hdPS algorithm methods. L1-regularization also produces improved covariate balance between compared drug groups compared to the hdPS algorithm.

In our simulations, we find mixed results on estimation bias reduction. No propensity score method is predominantly superior to the other methods. Additionally, our simulation results are strongly influenced by simulation parameters such as the true hazard ratio and the simulated outcome prevalence. These effects are endemic to the simulation design and complicate the comparison of propensity score methods.

In our negative control experiments, we judge propensity score methods by their ability to validate a set of negative controls as having statistically insignificant effect sizes. Unadjusted estimation is susceptible to bias and validates few negative controls, while propensity score adjustment increases the proportion of validated negative controls. We find mixed results in the propensity score methods, and neither L1-regularization or the hdPS algorithm performs significantly better than the other.

**Conclusion**

In this study, L1-regularization offers clear benefit compared to the hdPS algorithm on exposure prediction and covariate balance. Evaluation on outcome-dependent metrics such as bias reduction and negative control validation produce mixed results. Our evaluation methods extend existing survival simulation methods and demonstrate the utility of negative control experiments in propensity score evaluation.

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